

PATENT COOPERATION TREATY

From the INTERNATIONAL SEARCHING AUTHORITY

To: LAWRENCE P. CASSON KENYON & KENYON ONE BROADWAY NEW YORK, NY 10004	PCT NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT AND THE WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY, OR THE DECLARATION (PCT Rule 44.1) Date of mailing OFF TIDD 2006			
Applicant's or agent's file reference	Date of mailing (day/month/year) 07 APR 2006			
12958/461761 International application No.	FOR FURTHER ACTION See paragraphs 1 and 4 below			
PCT/US04/34966	International filing date (day/month/year) 22 October 2004 (22.10.2004)			
Applicant UNIVERSITY OF PITTSBURGH				
The applicant is hereby notified that the international search have been established and are transmitted herewith. Filing of amendments and statement under Article 19: The applicant is entifled, if he so wishes, to amend the claim.	ch report and the written opinion of the International Searching Anthonity ms of the international application (see Rule 46);			
When? The time limit for filing such amendments is search report.	normally two months from the date of transmittal of the international			
Where? Directly to the International Bureau of WIPO 1211 Geneva 20, Switzerland, Facaimile No.:				
For more detailed instructions, see the notes on the se	companying sheet.			
2. The applicant is hereby notified that no international scarce Article 17(2)(a) to that effect and the written opinion of the	h report will be established and that the declaration under blue international Searching Anthority are transmitted herewith.			
3. With regard to the protest against payment of (an) addit	ional fbe(s) under Rule 40.2, the applicant is notified that:			
the protest together with the decision thereon has been request to forward the texts of both the protest and the	n transmitted to the International Bureau together with the applicant's se decision thereon to the designated Offices.			
no decision has been made yet on the protest; the app	licant will be notified as soon as a decision is made.			
4. Reminders Shortly after the expiration of 18 months from the priority date, the international application will be published by the International Bureau. If the applicant wishes to avoid or postpone publication, a notice of withdrawal of the international application, or of the priority claim, must reach the International Bureau as provided in Rules 90bis. 1 and 90bis. 3, respectively, before the completion of the technical preparations for international publication.				
The applicant may submit comments on an informal basis on the written opinion of the International Searching Authority to the International Bureau. The International Bureau will send a copy of such comments to all designated Offices unless an international preliminary examination report has been or is to be established. These comments would also be made available to the public but not before the expiration of 30 months from the priority date.				
Within 19 months from the priority date, but only in respect of some designated Offices, a demand for international preliminary examination must be filed if the applicant wishes to postpone the entry into the national phase until 30 manths from the priority date (in some Offices even later); otherwise, the applicant must, within 20 months from the priority date, perform the prescribed acts for entry into the national phase before those designated Offices.				
In respect of other designated Offices, the time limit of 30 months (or later) will apply even if no demand is filed within 19 months.				
See the Annex to Form PCT/IB/301 and, for details about the sq Volume II, National Chapters and the WIPO Internet site:	oplicable time limits, Office by Office, see the PCT Applicant's Guide,			
Name and mailing address of the ISA US	Authorized officer MAGA INTALA			
Mail Stop PCT, Attn: ISA/US Commissioner for Patents	Quang Nguyen/Ph.IX			
P.O. Box 1450 Alexandria, Virginia 223 13-1450 Recsimile No. (571) 273-3201 Telephone No. (571) 272-1600				

Form PCT/ISA/220 (January 2004)

(See notes on accompanying sheet)

PATENT COOPERATION TREATY

PCT

INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference 12958/461761		Form PCT/ISA/220 are applicable, item 5 below.			
International application No. PCT/US04/34966	International filing date (day/month/year) 22 October 2004 (22.10.2004)	(Earliest) Priority Date (day/month/year)			
Applicant UNIVERSITY OF PITTSBURGH	22 00000 2004 (22.10.2004)	22 October 2003 (22.10.2003)			
This international search report consists o It is also accompanied 1. Basis of the Report	f a total of sheets. by a copy of each prior art document cited i	in this report.			
	international search was carried out on the ba				
a translation of th	application in the language in which it was fil e international application into mished for the purposes of international searc	which is the language			
b. With regard to any nucleotid	le and/or amino acid sequence disclosed in				
3. Unity of invention is lacking 4. With regard to the title, the text is approved as submi					
5. With regard to the abstract,					
the text is approved as submit					
the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box No. IV. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.					
 With regard to the drawings, the figure of the drawings to be put 	blished with the abstract is Figure No.				
as suggested by the a		-			
as selected by this Au	thority, because the applicant failed to sugges	st a figure.			
as selected by this Authority, because this figure better characterizes the invention.					
b. None of the figures is to be published with the abstract.					

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US04/34966

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Rule 6.4(a).
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INTERNATIONAL SEARCH REPORT

International application No.

		PCT	/US04/34966		
A. CLASSIFICATION OF SUBJECT MATTER IPC(7): C12N 5/00, 5/02, 5/08 US CL: 435/325, 371, 377 According to International Patent Classification (IPC) or to both national classification and IPC					
B. FIELL	DS SEARCHED				
	cumentation searched (classification system followed 35/325, 371, 377	by classification symbols)			
Documentation	on searched other than minimum documentation to the	extent that such documents	are included in the fields	searched	
1	ta base consulted during the international search (namontinuation Sheet	e of data base and, where pr	acticable, search terms u	sed)	
C. DOC	UMENTS CONSIDERED TO BE RELEVANT				
Category *	Citation of document, with indication, where a	propriate, of the relevant pa	ssages Relevant	to claim No.	
х	document, especially the abstract, pages 3-4; page 6, line 16 continues to line 7 of page 7; page 8, lines 10-23. X WO 03/042405 A2 (CHILDREN'S MEDICAL CENTER CORPORATION) 22 May 2003, see the entire document, especially the abstract, pages 2-5; page 21, paragraphs 80-81; page 22, paragraph 83. X US 2003/0180269 A1 (HARIRI, R.J.) 25 September 2003, see the entire document, especially the following paragraphs 057-077, 082-085, 114-123. Y US 2002/0151053 A1 (GERON CORPORATION) 17 October 2002, see the entire document, especially paragraph 109-117 and 178.				
Further	documents are listed in the continuation of Box C.	See patent family	annex.		
	pocial estegraies of cited documents:		ed after the international filing d	do or priority data	
	dafining the general state of the set which is not considered to be of	principle or theory unc	. •	·	
"B" cardiar app	plication or patent published on or after the international filling date		relevance; the claimed invention nnot be considered to involve sn. taken alone		
deidetse (bediesqu		"Y" document of perticular relevance; the cisimed invention cannot be expediented to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious			
"O" document	"O" document referring to an onal disolorure, use, exhibition or other means to a person skilled in the art				
"P" document published prior to the international filing data but later than the "&" document member of the same patent family priority data claimed					
Date of the actual completion of the international search Date of mailing of the international search report OZAPR 2006				_	
	006 (23.01.2006)	Authorized officer ()/// /// // // // // // // // // // // /			
Name and mailing address of the ISA/US Mail Stop PCT, Atta: ISA/US Commissioner for Patents P.O. Box 1450 Authorized officer Quang Nguyen, Ba.D. Commissioner for Patents P.O. Box 1450				WIOU	
Coz	moissioner for Patents	Quang Nguyen, Bh.D.	5-1		
	. Box 1450 xandria, Virginia 22313-1450	Telephone No. (571) 272-	1606		
Received No. (471) 273-3201					

INTERNATION	AL	SEARCH REPORT

International application No. PCT/US04/34966

BOX III. OBSERVATIONS WHERE UNITY OF INVENTION IS LACKING

This application contains the following inventions or groups of inventions which are not so linked as to form a single general inventive concept under PCT Rule 13.1. In order for all inventions to be examined, the appropriate additional examination fees must be paid.

Group I, claims 1-8 and 10-40, drawn to a composition comprising a placental stem cell isolated from the amnion or from the amniotic epithelium, a method of making and a first method of using the same for making a cardiomyccyte.

Group II, claims 41 and 43-44, drawn to a cardiomyocyte and methods of using the same for determining whether a test agent is toxic to a cardiomyocyte or for determining a metabolic product of a test agent.

Group III, claims 45-52 and 54-55, drawn to a method of making a hepatocyte, a hepatocyte and methods of using the same for determining whether a test agent is toxic to a hepatocyte or for determining a metabolic product of a test agent.

Group IV, claims 56-58 and 60-61, drawn to a method of making a pancreatic call, a pancreatic cell and methods of using the same for determining whether a test agent is toxic to a pancreatic cell or for determining a metabolic product of a test agent.

Group V, claims 62-63 and 65-66, drawn to a method of making a neural cell, a neural cell and methods of using the same for determining whether a test agent is toxic to a neural cell or for determining a metabolic product of a test agent.

Group VI, claims 67-69 and 71-72, drawn to a method of making a vascular endothelial cell, a vascular endothelial cell and methods of using the same for determining whether a test agent is toxic to a vascular endothelial cell or for determining a metabolic product of a test agent.

Group VII, claims 9, 73 and 83-86, drawn to a pharmaceutical composition comprising a placental stem cell of the invention and a method of regenerating or restoring a metabolic function to a patient in need thereof using the same.

Group VIII, claims 42 and 74, drawn to a pharmaceutical composition comprising a cardiomyocyte of the invention and a method of regenerating or restoring a metabolic function to a patient in need thereof using the same.

Group IX, claims 53 and 75, drawn to a pharmaceutical composition comprising a hepatocyte of the invention and a method of regenerating or restoring a metabolic function to a patient in need thereof using the same.

Group X, claims 59 and 76, drawn to a pharmaceutical composition comprising a pancreatic cell of the invention and a method of regenerating or restoring a metabolic function to a patient in need thereof using the same.

Group XI, claims 64 and 77, drawn to a pharmaceutical composition comprising a neural cell of the invention and a method of regenerating or restoring a metabolic function to a patient in need thereof using the same.

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Group XII, claims 70 and 78, drawn to a pharmaceutical composition comprising a vascular endothelial cell of the invention and a method of regenerating or restoring a metabolic function to a patient in need thereof using the same.

The inventions listed as Groups I-XII do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons:

The placental stem cell composition of Group I, the cardiomyocyte composition of Group III, the hepatocyte composition of Group IIII, the pancreatic cell composition of Group IV, the neural cell composition of Group V, the vascular endothelial cell composition of Group VI and the pharmaceutical compositions of Groups VII-XII are different compositions that have different components that do not share the same common core structure and that they have different properties one from the others (e.g., a stem cell is different immunophenotypically and has different properties from differentiated cells such as a cardiomyocyte, a hepatocyte, a neural cell, a pancreatic cell or a vascular endothelial cell; and that a pharmaceutical composition renders therapeutic effects to a treated patient). Accordingly, these compositions lack a common utility that is based upon a common structural feature that is a basis for that common utility. Similarly, the methods of using these compositions also lack a common utility for the same reasoning.

Continuation of B. FIELDS SEARCHED Item 3: APS, DIALOG, MEDLINE, EMBASE, BIOSIS

search terms: placental stem cell, placenta, amnion, epithelial, cardiomycoyte, Strom-Stephen.

PATENT COOPERATION TREATY

From the	TONAL OF ADO	unio Alemi	anymz			
INTERNATIONAL SEARCHING AUTHORITY To: LAWRENCE P. CASSON KENYON & KENYON ONE BROADWAY NEW YORK, NY 10004		PCT WRITTEN OPINION OF THE				
				INTERNATI	ONAL SEARCHING AUTHORITY	
					(PCT Rule 43bis.1)	
				Date of mailing (day/month/year)	07 APR 2006	7
Applicant'	s or agent's file r	reference		FOR FURTHER	ACTION	1
12958/461	761				See paragraph 2 below	
Internation	nal application No	D.	International filing date	(day/month/year)	Priority date (day/month/year)	1
PCT/US04			22 October 2004 (22.10		22 October 2003 (22.10.2003)]
Internation	nal Patent Classif	ication (IPC)	or both national classificat	ion and IPC		1
	12N 5/00, 5/02, 5	/08 and US C	L: 435/325, 371, 377		·	1
Applicant						
UNIVERS	ITY OF PITTSB	URGH]
1. This o	pinion contains i	ndications rel	sting to the following item	18:		
	Box No. I	Basis of the	e opinion			
	Box No. II	Priority				
	Box No. III	Non-establi	shment of opinion with re	gard to novelty, inve	entive step and industrial applicability	ĺ
	Box No. IV	Lack of unity of invention				
\boxtimes	Box No. V	Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability, citations and explanations supporting such statement				
	Box No. VI	Certain doc	uments cited			
	Box No. VII	Certain defi	ects in the international ap-	plication		
	Box No. VIII					
2. FUR	THER ACTIO	N				
Intern Autho	ational Preliminarity other than the	ary Examinin nis one to be	g Authority ("IPEA") ex	ccept that this does IPEA has notified ti	be considered to be a written opinion of the not apply where the applicant chooses an he International Bureau under Rule 66.1bis(b) cred.	
IPEA of For	a written reply to m PCT/ISA/220	ogether, when or before the	e appropriate, with amend expiration of 22 months fr	ments, before the ex	PBA, the applicant is invited to submit to the spiration of 3 months from the date of mailing whichever expires later.	
For tu	rther options, see	rom PC1/13	SA/22U.			
3. For fu	rther details, see	notes to Form	PCT/ISA/220.			
Name and	mailing address	of the ISA/ U	S Date of comple	tion of this opinion	Authorized of Figet	_
M	Aail Stop PCT, Attr	L ISA/US		- -	Quang Ngryen Mill	
P	P.O. Box 1450					
	Alexandria, Virginia 22313-1450 Pacsimile No. (571) 273-3201 Telephone No. (571) 272-1600					
	SA/237 (cover ab		05)			ı

Form PCT/ISA/237(Box No. I) (April 2005)

International application No.

PCT/US04/34966

Box No. I Basi	s of this opinion
the inter	the language, this opinion has been established on the basis of: mational application in the language in which it was filed tion of the international application into, which is the language of a translation furnished for the purposes of onal search (Rules 12.3(a) and 23.1(b)).
2. With regard to a invention, this c	any nucleotide and/or amino acid sequence disclosed in the international application and necessary to the claimed spinion has been established on the basis of:
a, type of n	naterial equence listing
	equation in the sequence listing
on on	f material paper electronic form
in the	ling/furnishing stained in the international application as filed. d together with the international application in electronic form. sished subsequently to this Anthority for the purposes of search.
or lurmar	n, in the case that more than one version or copy of a sequence listing and/or table(s) relating thereto has been filed sed, the required statements that the information in the subsequent or additional copies is identical to that in the mass filed or does not go beyond the application as filed, as appropriate, were furnished.
4. Additional comm	

International	application No.	
D/WE/I 300 4 D	10.00	

	FC1/C804/34906
Box No. IV Lack of unity of invention	
In response to the invitation (Form PCT/ISA/206) to pay additional fees paid additional fees under protest and, where applicable, the protest additional fees under protest but the applicable protest fee not paid additional fees	otest fee
2. This Authority found that the requirement of unity of invention is not corpay additional fees.	
3. This Authority considers that the requirement of unity of invention in accordan	ce with Rule 13.1, 13.2 and 13.3 is
complied with not complied with for the following reasons: See the lack of unity section of the International Search Report(Form PCT/ISA)	/210)
•	
	,
Commercial at the same of the	
Consequently, this opinion has been established in respect of the following parts of all parts.	the international application:
the parts relating to claims Nos. <u>1-8 and 10-40</u>	

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NO

Box No. V Reasoned statement under Rule 43 bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement 1. Statement Novelty (N) Claims 30, 34 and 36-40 YES Claims 1-8, 10-29, 31-33 and 35 NO Inventive step (IS) Claims 34 and 40 YES Claims 1-8, 10-33 and 35-39 NO Industrial applicability (IA) Claims 1-8 and 1040 YES

2. Citations and explanations:

Please See Continuation Sheet

Claim 30 lacks an inventive step under PCT Article 33(3) as being obvious over WO/0073421 A2 or WO03/042405 A2 or US 2003/0180269 A1 in view of US 2002/0151053 A1.

Claims NONE

The teachings of WO/0073421 A2 or WO03/042405 A2 or US 2003/0180269 A1 were disclosed above. However, none of the references taught specifically of culturing placental stem cells with TGF-alpha.

At the effective filing date of the present disclosure, US 2002/0151053 A1 already taught culturing pluripotent stem cells in the presence of a maturation co-factor such as TGF-alpha or TGF-beta among others to obtain an enrichment of hepstocyte-like cells (paragraph 112).

It would have been obvious for an ordinary skilled artisan to use the maturation factor such as TGF-alpha taught by US 2002/0151053 A1 in the cultured multipotential stem cell populations of either WO/0073421 A2 or WO03/042405 A2 or US 2003/0180269 A1 since they all taught to differentiate the stem cells to any cell lineages using known differentiation agents.

The cultured multipotential stem cell populations under TGF-alpha would result in enriched cells in a composition as broadly claimed.

Accordingly, claim 30 lacks an inventive step for the reasons discussed above.

Claims 36-39 lack an inventive step under PCT Article 33(3) as being obvious over WO/0073421 A2 or WO03/042405 A2 or US 2003/0180269 A1 in view of WO 99/20741.

The teachings of WO/0073421 A2 or WO03/042405 A2 or US 2003/0180269 A1 were disclosed above. However, none of the references taught specifically of culturing placental stem cells under substmospheric ambient oxygen conditions.

At the effective filing date of the present disclosure, WO 99/20741 already taught culturing primate-derived primordial stem cells in a substantially undifferentiated state in a cell culture medium that has an osmotic pressure of less than about 300 mOsm/kg, preferably about 280 mOsm/kg.

It would have been obvious for an ordinary skilled artisan to use the low osmotic culture condition taught by WO 99/20741 to grow and expand the multipotential stem cells of WO/0073421 A2 or WO03/042405 A2 or US 2003/0180269 A1 in a substantially undifferentiated state as needed by the artisan.

The multipotential stem cell populations cultured under the low osmotic culture condition would result in enriched cells in a composition as broadly claimed.

Accordingly, claims 36-39 lack an inventive step for the reasons discussed above.

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Supplement	al Box					
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V. 2. Citations and Explanations:

Claims 1-8, 10-24, 27-29 and 33 lack novelty under PCT Article 33(2) as being anticipated by WO 00/73421 A2.

WO 00/73421 A2 disclosed a method for isolating, culturing human amniotic epithelial cells derived from placenta at delivery as well as methods for inducing differentiation of these multipotential cells and manipulating the cells by gene transfection (see abstract, pages 3-4 and 9). Selective adhesion techniques are taught to be used to eliminate mesenchymal fibroblasts in the isolation of human amniotic epithelial cells (page 6, lines 9-10). The human amniotic epithelial cells are characterized by round, cobblestone morphology, large muclei, epithelial membrane antigen and cytokeratin staining, and gap junctional communication (page 6, lines 13-15). The isolated aminotic epithelial cells are cultured in various media such as DMEM, F-12, M199, supplemented with fetal bone scrum, whole human serum or human umbilical cond scrum or supplemented with growth factors, cytokines, hormones, vitamins or any combination thereof. Additionally, the amniotic epithelial cells are cultured on feeder cells, such as irradiated fibroblasts (page 6, lines 16-26). Agents such as EGF, aFGF, bFGF, PDGF, KGF, TGF-beta, retinoic acid, insulin, prolactin, TPA, DMSO, androgen, estrogen, cytokines and others can be used to induce differentiation of the amniotic epithelial cells (page 7, first paragraph; page 8, lines 15-23).

Since the multipotential human amniotic epithelial cells disclosed in WO 00/73421 A2 are derived from the same tissue source, isolated and cultured by a similar method, and in light of their disclosed characteristics; it is inherent that the multipotential amniotic epithelial cells also possess the same characteristics as the cell compositions of the present invention.

Accordingly, the instant claims are anticipated by WO 00/73421 A2.

Claims 1-8, 10-23, 26-29 and 33 lack novelty under PCT Article 33(2) as being anticipated by WO 03/042405 A2.

WO 03/042405 A2 disclosed methods of isolation, expansion and differentiation of pluripotent fetal stem cells from chorionic villus, amniotic fluid and placenta (see abstract). The fetal stem cells are also manipulated by gene transfoction for therapeutic applications (page 3, paragraph 9). The isolated pluripotent human fetal stem cells are used to differentiate to cells of different lineages, including but not limited to osteogenic, adipogenic, myogenic, neurogenic, hematopoietic and endothelial lineages by exposing the stem cells to one or more differentiation-inducing agents such as EGF, aFGF, bFGF, PIDGF, KGF, TGF-P, retinoic acid, insulin, prolactin, DMF, androgen, estrogen, cytokines and others (paragraphs 81 and 83). Antibodies reactive to c-kit are used to isolate the human fetal stem cells (paragraph 42). Fetal stem cells are cultured in various media such as DMEM, R-12, MI 99 supplemented with fetal bovine serum, whole human serum or supplemented with growth factors, cytokines, hormones, vitamins, antibiotics or any combination thereof (paragraph 80). WO 03/042405 A2 further disclosed that most of the isolated cells from chorionic villi and amniotic fluid were of epithelial origin and stained positively for cytokeratins (paragraph 98).

Since the phiripotent fetal stem cells disclosed in WO 03/042405 A2 are derived from the same tissue source, isolated and cultured by a similar method, and in light of their disclosed characteristics, it is inherent that the phiripotent fetal stem cells also possess

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Supplemental Box

In case the space in any of the preceding boxes is not sufficient.

the same characteristics as the cell compositions of the present invention.

Accordingly, the instant claims are anticipated by WO 03/042405 A2.

Claims 1-8, 10-29, 31-33 and 35 lack novelty under PCT Article 33(2) as being anticipated by Hariri (US 2003/0180269 A1).

Hariri provides compositions comprising embryonic-like stem cells that originate from an extract or perfusate of a exanguinated post-partum placenta, the cells are characterized by the presence of surface markers OCT-4, ABC-p, SH2, SH3, SH4, CD90 and the absence of CD34, CD38, CD45, SSRA3 and SSRA4 (see abstract, paragraph 17). Hariri also teaches that the embryonic-like stem cells are treated with a growth factor, a cytokine or an interleukin to induce cell differentiation (paragraph 47). The embryonic-like stem cells are isolated from the effluent perfusate from a cultured placenta using techniques known by those skilled in the art, such as, density gradient centrifugation, magnet cell separation, flow cytometry or other cell separation or sorting methods well known in the art (paragraphs 65-69 and 77). The isolated embryonic-like stem cells can be cultured on feeder cells such as irradiated fibroblasts, expanded and cultured and induced differentiation in the presence of agents such as EGF, KGF, retinoic acid, hormones, and others (paragraphs 79, 82). The embryonic-like stem cells can also be genetically modified using a recombinant viral and non-viral vector containing a transgene (paragraphs 114-116).

Since the embryonic-like stem cells derived from the same tissue source, isolated and cultured by a similar method, and in light of their disclosed characteristics, it is inherent that the embryonic-like stem cells of Harriri also possess the same characteristics as the cell compositions of the present invention.

Accordingly, the instant claims are anticipated by by Hariri (US 2003/0180269 A1).